

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

Form 8-K

CURRENT REPORT

**Pursuant to Section 13 or 15(d) of the
Securities Exchange Act of 1934**

Date of Report (Date of Earliest Event Reported): June 24, 2020 (June 24, 2020)

INTL FCStone Inc.

(Exact name of registrant as specified in its charter)

Delaware
(State of Incorporation)

000-23554
(Commission File Number)

59-2921318
(IRS Employer ID No.)

155 East 44th Street, Suite 900, New York, NY 10017
(Address of principal executive offices, including Zip Code)

(212) 485-3500
(Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of Each Class	Trading Symbol	Name of each exchange on which registered
Common Stock, \$0.01 par value	INTL	The Nasdaq Stock Market LLC

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 5.03. Amendments to Articles of Incorporation or Bylaws; Change in Fiscal Year.

As described under Item 5.07 of this Current Report, at a Special Meeting of Shareholders of INTL FCStone Inc. (the "Company") held on June 24, 2020, the shareholders of the Company voted on and approved the amendment of the First Article of its Restated Certificate of Incorporation to change the name of the Company to StoneX Group Inc. The Company will be filing the approved amendment with the Delaware Secretary of State promptly, with an effective date of July 6, 2020.

Item 5.07. Submission of Matters to a Vote of Security Holders.

A Special Meeting of the Shareholders of the Company was held on June 24, 2020. The final voting results for the matter submitted to a vote of the shareholders are as follows:

Item 1. Votes cast with respect to the proposal to amend the Company's certificate of incorporation to change the company name to StoneX Group Inc., as well as abstentions and broker non-votes as to such matter, were as follows:

FOR	18,003,667
AGAINST	12,383
ABSTAIN	11,982
BROKER NON-VOTES	—

Item 8.01. Other Events.

On June 24, 2020, the Company issued a press release announcing the change in the name of the Company, along with a change in the Company's ticker symbol to "SNEX", with both changes being effective on July 6, 2020.

A copy of the press release is filed herewith as Exhibit 99.1.

Item 9.01. Financial Statements and Exhibits.

[Exhibit 99.1](#) Press release dated as of June 24, 2020.

Signature

Pursuant to the Requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this Report to be signed on its behalf by the Undersigned hereunto duly authorized.

June 24, 2020

(Date)

INTL FCStone Inc.

(Registrant)

/s/ David A. Bolte

David A. Bolte

Corporate Secretary

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Commodities · Global Payments · Foreign Exchange · Securities

INTL FCStone is Rebranding to StoneX INTL FCStone Inc. is Changing its Name to StoneX Group Inc.

Rebrand signals the next phase for the firm as a unified financial services network connecting clients to global financial markets

NEW YORK, June 24, 2020 -- [INTL FCStone Inc.](#) (NASDAQ: INTL) (the “Company”), a leading provider of execution, post-trade settlement, clearing and custody services across asset classes and markets worldwide, today announced that it is rebranding the firm as StoneX Group Inc. (“StoneX”). The StoneX Group name was approved by an overwhelming majority at this morning’s shareholder meeting and effective July 6, 2020 the Company will be traded under the symbol SNEX.

The StoneX Group name and its trade name “StoneX” carries forward the foundation established by Saul Stone in 1924 to today’s modern financial services firm. Today, the StoneX Group provides an institutional-grade financial services ecosystem connecting its clients to 36 derivatives exchanges, 175 foreign exchange markets, nearly every global securities marketplace, and a number of bi-lateral liquidity venues via its network of highly integrated digital platforms and experienced professionals. Our platform delivers support throughout the entire lifecycle of a transaction, from consulting and boots-on-the-ground intelligence, efficient execution, to post-trade clearing, custody and settlement.

Sean O’Connor, CEO, commented on today’s news, “Our firm has experienced transformational growth in recent years - in terms of the breadth and depth of our offering, our technology platforms and our global client base. This re-brand will help us better define and communicate our strategic focus on driving innovation and digitalization in the global markets going forward.”

In 2003, the Company set out to become a leading provider of financial services with the mission to meet the needs of underserved clients in specialized markets. As larger investment banks derisked after the financial crisis by withdrawing from markets, raising their minimums, and narrowing their offerings, the Company was able to occupy the gaps in the marketplace and seize opportunities left behind by these larger firms. Additionally and concurrently, as regulations and capital pressures drove mergers amongst smaller players in the industry, INTL FCStone Inc. became an opportunistic consolidator executing strategic acquisitions across business lines. These acquisitions all served as part of the Company’s transition to a global financial services firm that provides a unified digital platform that offers efficient access and connectivity to all major financial markets around the world. Simultaneously, INTL FCStone Inc. worked with larger institutions to meet their growing need for an institutional-grade partner to help them execute their market strategies and serve their clients.

“Our transition to the StoneX brand signals an exciting new phase for our company and our clients. We owe all of our success to our clients, and we will continue to put them at the center of everything we do as we pursue our goal of becoming recognized as a best in class financial services franchise,” added O’Connor.

About INTL FCStone Inc.

INTL FCStone Inc. (NASDAQ: INTL), through its subsidiaries, connects its clients with the global markets across asset classes - providing execution, post-trade settlement, clearing and custody services. Clients use its institutional-grade digital platforms, high-touch service and deep expertise to pursue trading opportunities, make investments, efficiently manage their market risks and improve their performance. Headquartered in New York City, INTL FCStone Inc. and its 2,000 employees serve more than 30,000 commercial and institutional clients, and more than 125,000 retail clients, from more than 40 offices across five continents.

Further information on INTL is available at www.intlfcstone.com.

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