SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

OMB Number: 3235-0104 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] <u>Nguyen Xuong</u>	2. Date of Event Requiring Statement (Month/Day/Year) 05/06/2013 3. Issuer Name and Ticker or Trading Symbol INTL FCSTONE INC. [INTL]							
(Last) (First) (Middle) 230 SOUTH LASALLE			4. Relationship of Reporting Perso (Check all applicable) Director	10% Owner		5. If Amendment, Date of Original Filed (Month/Day/Year)		
SUITE 10-500	Officer (give title X Other (specify below) COO of Affiliates		·	6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street) CHICAGO IL 60604			COO 01 Amma	lites		Х		y One Reporting Person y More than One erson
(City) (State) (Zip)								
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4) or Indirect (I) (Instr. 5)		t (D) (I	4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Restricted Shares of Common Stock			7,156	D				
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securit Underlying Derivative Security	y (Instr. 4) Conv or Ex		sion (cise F	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security		or Indirect (I) (Instr. 5)	
Stock Options	11/30/2011 ⁽¹⁾	11/30/2014	Common	2,000	23.4	.9	D	
Stock Options ⁽²⁾	12/16/2016 ⁽³⁾	12/16/2021	Common	80,000	25.91	(4)	D	

Explanation of Responses:

1. On 11/30/2011 the Optionee became entitled to exercise 667 shares of the Option, and on 11/30/2012 the Optionee became entitled to exercise 667 shares of the Option. Commencing on 11/30/2013 the Optionee shall be entitled to exercise 666 shares of the Option.

2. The option grant was approved by the Company's Board of Directors on December 12, 2011, subject to shareholder approval of an Amendment to the Stock Option Plan under which the options were granted. The Company's shareholders approved the Amendment on February 23, 2012. The Amendment increased the number of shares that could be granted under the plan.

3. The options vest in equal tranches on each of the fifth, sixth, seventh, eighth and ninth anniversaires of the grant date.

4. The strike price of the grant was set by the Company's Board of Directors at 110% of the closing price on December 16, 2011.

Remarks:

<u>Xuong Nguyen</u>

05/06/2013

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.