SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* 2. Da Requ Smith Philip Andrew (Mor 03/0				ment	3. Issuer Name and Ticker or Trading Symbol <u>INTL FCSTONE INC.</u> [INTL]					
(Last) (First) (Middle) 708 THIRD AVENUE					4. Relationship of Reporting Perso (Check all applicable) Director	10% Owne	r (M	5. If Amendment, Date of Original Filed (Month/Day/Year)		
SUITE 1500					Officer (give title X below)	Other (spe below)	- 0.1	6. Individual or Joint/Group Filing (Check Applicable Line)		
(Street)					CEO - subsidiary	entity		X Form filed b	y One Reporting Person	
NEW YORK	NY	10017						Form filed b Reporting P	y More than One erson	
(City)	(State)	(Zip)								
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)					. Amount of Securities ieneficially Owned (Instr. 4)			Nature of Indirect Beneficial Ownership nstr. 5)		
Common					92,939	D	D			
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr.		4. Conversion or Exercise	e Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		
Stock Options			12/05/2011 ⁽¹⁾	12/05/2014	Common Stock	60,000	6.62	D		
Stock Options			12/11/2010	12/11/2013	Common Stock	11,445	15.56	D		
Stock Options ⁽²⁾		12/16/2016 ⁽³⁾	12/16/2021	Common Stock	80,000	25.91 ⁽⁴⁾	D			

Explanation of Responses:

1. On 12/05/2011 the Optionee became entitled to exercise 20,000 shares of the Option. On 12/05/2012 the Optionee became entitled to exercise 20,000 shares of the Option. Commencing on 12/05/2013 the Optionee shall be entitled to exercise 20,000 shares of the Option.

2. The option grant was approved by the Company's Board of Directors on December 12, 2011, subject to shareholder approval of an Amendment to the Stock Option Plan under which the options were granted. The Company's shareholders approved the Amendment on February 23, 2012. The Amendment increased the number of shares that could be granted under the plan.

3. The options vest in equal tranches on each of the fifth, sixth, seventh, eighth and ninth anniversaires of the grant date.

4. The strike price of the grant was set by the Company's Board of Directors at 110% of the closing price on December 16, 2012.

Remarks:

Philip Andrew Smith

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

03/07/2013

Date