SEC Form 3 FORM 3

## UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and A Rotsztain	Address of Repo	orting Person <sup>*</sup>	2. Date of E Requiring S (Month/Day 08/03/202	itatement /Year)	Atement Year) StoneX Group Inc. [ SNEX ]				
(Last) (First) (Middle) 155 EAST 44TH STREET				4. Relationship of Reporting Person(s) f Issuer (Check all applicable) Director 10% Ow			5. If Amendment, Date of Original Filed (Month/Day/Year)		
SUITE 900 (Street) NEW YORK (City)	NY (State)	10017 (Zip)			X Officer (give title below) Chief Governance/I	Other (specify below) Legal Officer		6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person	
Table I - Non-Derivative Securities Beneficially Owned									
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)	3. Own Form: [ (D) or li	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)									
			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversi or Exerci Price of	se Form:	6. Nature of Indirect Beneficial Ownership (Instr.
· · · · · · · · · · · · · · · · · · ·		Date Exercisable	Expiration Date	Title	Amount or Derivativ Number of Shares		Direct (D) or Indirect (I) (Instr. 5)	5)	

**Explanation of Responses:** 

**Remarks:** 

No securities are beneficially owned.

Diego	<u>Rotsztain</u>

08/04/2020

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.