SEC Form 4
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287
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П	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See	STATEMENT
_	Instruction 1(b).	Filed pu o

## TATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Smith Philip Andrew		g Person <sup>*</sup>	2. Issuer Name and <u>StoneX Group</u>			5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Officer (give title X Other (specify					
(Last) 230 PARK AV 10TH FLOOP	-	(Middle)	3. Date of Earliest Tr 11/03/2021	ansaction (Mo	nth/Day/Year)	-	below) CEO - subs	be	low) .ty		
10TH FLOOR       (Street)       NEW YORK     NY       10169       (City)     (State)       (Zip)		4. If Amendment, Da	4. If Amendment, Date of Original Filed (Month/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting Person</li> </ul>				
		Table I - Non-Deriva	ative Securities A	cquired, E	isposed of, or Bene	ficially	Owned				
1. Title of Secur	ity (Instr. 3)	2. Transaction	n 2A. Deemed	3.	4. Securities Acquired (A) or	,	5. Amount of	6. Ownersh	in	7. Nature	

1. Title of Security (Instr. 3)	Date Execution Date,		3. Transa Code ( 8)					Securities Beneficially Owned Following	Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	(Instr. 4)
Common <sup>(1)</sup>	11/03/2021		S		3,000	D	\$72.0019 <sup>(2)</sup>	148,472	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	med on Date, Code (Instr. Derivativ		vative virities vired r osed ) r. 3, 4	Expiration Date A (Month/Day/Year) S U D S			e and int of rities rlying ative rity (Instr. 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

## Explanation of Responses:

1. All of the transactions reported on this form are program transactions pursuant to a Rule 10b5-1 plan.

2. The price reported represents an average price. The Reporting Person will provide to the Commission, the issuer and any stockholder, upon request, full information regarding the number of shares sold at each separate price.

## Remarks:

Philip Andrew Smith

11/03/2021

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.