SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add Schroeder		quiring Statement INTL FCSTONE INC. [INTL]										
(Last) (First) (Middle) 1251 NW BRIARCLIFF PARKWAY SUITE 800		· · ·			4. Relationship of Reporting Pers (Check all applicable) Director X Officer (give title		10% Owne Other (spe	er cify	5. If Amendment, Date of Original Filed (Month/Day/Year)			
(Street) KANSAS CITY	MO 64116				Group Contro	below) ller		Applic	Individual or Joint/Group Filing (Check pplicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)										
Table I - Non-Derivative Securities Beneficially Owned												
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)				4. Nature of Indirect Beneficial Ownership (Instr. 5)			
Common Stock					3,796		D					
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)												
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securit Underlying Derivative Security			4. Convers or Exerc Price of	ise	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date Exercisable			3	Amount or Number of Shares	Derivative Security		Direct (D) or Indirect (I) (Instr. 5)		
Stock Options			09/30/2009	06/13/2016		Common Stock	3,392	18.64	4	D		
Stock Options		09/30/2009	03/16/2017	′	Common Stock	3,318	54.23	3	D			

Explanation of Responses:

Remarks:

Aaron M. Schroeder

12/19/2012

** Signature of Reporting Person

<u>12/19/20</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.